



CORPORATE ACCOUNT OPENING FORM

Registered Name*:

Trade Name (if different from the above):

Previous Name (if changed in the last one year):

Incorporation No:

Date of Incorporation:

Legal Type:

- Public Company (Listed)
- Public Company (Not-Listed)
- Partnership
- Private Company (LLC and others)
- Fund
- Joint Venture
- Other (specify) _____

Country of Incorporation:

Line of Business:

Tax ID Number:

Names of All Directors:

**US Resident?
(Y/N)**

**US Citizen?
(Y/N)**

1.	1.	1.
2.	2.	2.
3.	3.	3.
4.	4.	4.

Names of All Shareholders/Partners:

**US Resident?
(Y/N)**

**US Citizen?
(Y/N)**

1.	1.	1.
2.	2.	2.
3.	3.	3.
4.	4.	4.

Authorized Representatives on behalf of the company (Board resolution must be attached). Complete Appendix 3.

Names

Specimen Signature

Banking Details:

Bank Name:

Bank Account Number:

Account Holder Name:

IBAN Number:

SWIFT Number/BIC:

Headquarters Address*

Street Name & Number:

Flat:

Area:

Postal Code:

City:

Country:

Mailing Address (if different from the Permanent Address)

Street Name & Number:

Flat:

Area:

Postal Code:

City:

Country:

Telephone No.*

Telephone:

Fax:

E-mail Address*

Preferred language of communication *

English

Other (please specify):

1. We hereby confirm that the information given above is true, correct and complete and you are hereby authorized to use this information for all Services offered by NBH MARKETS EU LIMITED and for which We may apply from time to time and for all the accounts which We have now or may have in the future with NBH MARKETS EU LIMITED.

2. Change of Data

For any changes in the data given above, we have the obligation to notify the company as soon as possible and we acknowledge that the company is not liable for any wrong data, or for the non-prompt amendment of data which we have omitted or neglected to send and to inform the company.

3. Change of Name

Change of name does not affect any of my rights or obligations, nor does it affect any legal procedure by or against me and any legal proceedings that may be in process or has begun against me under my previous name, may continue or commence against me under my new name.

4. Communication

a. The company will contact me by phone or in writing at my last known address and the day of contact will be considered the day of the telephone call or the date of sending the written correspondence by telegram, electronic mail, telex or fax (but should this day be a non-working day, then it would be considered that it has been received the next working day).

b. The company shall have no liability or obligation for any loss or damage that we may suffer as a result of any delays, misunderstanding, mutilations or any other irregularity, in sending any notification either from or to me or to any other party, or forwarding either by hand, or by post, or by telegram, telephone, email, telex, fax or by any other means of communication.

c. The company is under no liability for loss or non-receipt of any statement of account, receipt or notification dispatched.

5. Consent for the collection and processing of personal data

We fully understand and hereby state that we freely and expressly consent to:

a. The use, processing, collection, recording, transmission and correlation by the company and its authorized and appropriately trained employees, associates or agents of the company, of my personal data which have been given, or shall be given in the future by me, or have been secured by the company, for purposes relevant to the provision of Services offered by the company, in accordance with the provisions of the Processing of Personal Data (Protection of Individuals) Law of 2001, as it shall be amended from time to time, under which we have the right to access and rectify of said data, and we acknowledge that the holder of my personal data is NBH MARKETS EU LIMITED. Any information relating to me shall not be disclosed to third parties apart from those mentioned above, except only with my consent or in accordance with any Law or Court order, or it is in the public interest to do so or if necessary for the protection of the company.

b. For security purposes, the company may record my telephone calls with the company and may use monitoring and/or recording equipment in and around its premises. All recordings comprise property of the company.

6. Residents of Member States of the European Union/ Residents of other States**

We hereby state that we are:

- Resident of a member State of the European Union
- A person domiciled outside the Republic of Cyprus and that We are not a resident of a member

State of the European Union

For as long as We are: (a) resident of a member State of the European Union, or (b) a person that domiciles outside the Republic of Cyprus, we hereby authorize the company to complete and submit a statement for statistical purposes for my foreign investments in Cyprus according to the instructions of the Central Bank of Cyprus under the Central Bank of Cyprus Law of 2002, as it may be amended from time to time.

7. Legislation

The relationship between the customer and the company is governed by the Cyprus Law and it is agreed that the Courts of Cyprus will have jurisdiction for the resolution of any dispute which may occur from or in connection with this authorization, it is understood that this article will not limit the rights of the company to take legal proceedings in any other Courts abroad which have jurisdiction.

The present authorization will continue to be valid until the day that We withdraw it by notification in writing which will be delivered to you.

8. Know Your Client documentation

Please attach to this application form, where applicable, the following documents:

N:	Policy name	Tick (client use)	Tick (internal use only)
1	Copy of the Memorandum and Articles of Association		
2	Certified copy of Certificate of Incorporation / Establishment and where the Customer is trading under a business name, the certificate of registration of the business name		
3	Certified copy of the Certificate of Directors bearing a date of issue not older than six months.		
4	Certified copy of the Certificate of Shareholders of a private Ltd company/Partners/Members		
5	Certificate of Good Standing (not older than 1 year)		
6	Most recent audited Financial Statements.		



7	Certified copy of a resolution of the board of directors or other appropriate board or body for the representation of the Customer. This resolution should also designate the person authorized to sign the Agreement.		
8	Copy of the Identity Card or passport of the Authorized Representative/Attorney.		
9			

*The above documents are not exhaustive and the Company may require additional documents where this shall be deemed necessary.

*In cases where the Customer is a legal person of a foreign country, the Customer must submit all documents requested and approved by the Company depending on the country of origin.

We hereby consent to be informed for any service, product or scheme offered from time to time by the company and/or other selected third parties.

We hereby declare and confirm that these are true and correct and that we have not withheld any relevant or substantial information.

We confirm that we have delivered whatever is required in accordance with point 8 above and that such are original and authentic and content is true and accurate.

Company Name:

Name of the representative

Signature

Date:

APPENDIX 1 – APPROPRIATENESS TEST

No.	Questions	Answers	Choose Answer	Score (internal Use only)
1	Employment status	Employed		
		Self-Employed		
		Unemployed		
		Retired		
		Student		
2	Nature of Business	Accounting		
		Banking		
		Legal		
		Financial Services		
		Business Administration		
		Other		
3	Annual Income (Estimated in USD)	less than 15,000 USD		
		from 15,000 - 50, 000 USD		
		from 50,000 - 250, 000 USD		
		more than 250,000 USD		
4	Net Worth (Estimated in USD)	less than 15,000 USD		
		from 15,000 - 50, 000 USD		

No.	Questions	Answers	Choose Answer	Score (internal Use only)
		from 50,000 - 250, 000 USD		
		more than 250,000 USD		
5	Have you ever declared Bankruptcy?	Yes		
		No		
6	Education	Elementary		
		High School		
		College/University		
		Masters/PhD		
		Professional qualification		
7	Please indicate if you have traded on an execution only basis in any of the following Markets for the past 1 year.	Rolling Spot Forex/CFDs on FX pairs Indices or Commodities		
		Shares/Bonds		
		Spread Betting/Other Derivatives		
		No Experience		
8	Do you have any experience or relevant qualification to understand the nature of the risks involved in trading leveraged products such as OTC derivatives?	Yes		
		No		
9	What are you average transaction sizes and leverage used when trading products connected to Rolling Spot Forex/CFDs on FX pairs/ Indices or Commodities?	over 1000 EUR with a leverage of above 1:50		
		between 100-1000 EUR with a leverage of above 1:50		
		100 EUR or less with a leverage of above 1:50		
		Never traded with a leverage of above 1:50		
10	How many trades connected to Rolling Spot Forex/CFDs on FX pairs/Indices or Commodities have you made in the last six months?	more than 100 trades		
		50-100 trades		
		25-50 trades		
		1-25 trades		
		No trades		
11	With which possible ways can an open trading position be closed?	With an order to 'Take Profit' or 'Stop Loss'?		
		With a request for withdrawal of funds from your account balance?		

No.	Questions	Answers	Choose Answer	Score (internal Use only)
		With both the above		
		None of the above		
12	What is the meaning of Over The Counter ("OTC") derivatives?	Contracts that are traded (and privately negotiated) in-directly between two parties, without going through an exchange or other intermediary		
		Contracts that are traded (and privately negotiated) directly between two parties, without going through an exchange or other intermediary		
		Contracts that are traded publicly through a regulated Stock - Exchange or other intermediary		
		Contracts that are traded (and privately negotiated) between unknown parties, which sometimes are traded through a regulated Stock- exchange.		
13	How much margin is required to buy 1.00 lot (100K contract size) of EURUSD through an account that has 100:1 leverage?	1,000 EUR		
		100 USD		
		5,000 EUR		
		1,000 USD		
14	The market is moving against you and your account has a stop-out level of 50%. Your account will be stopped out in which of the following situations?	The account equity reaches 50% of the used margin		
		The account equity reaches zero		
		The account equity reaches 50% of the account balance		
		None of the above		
15	You have deposited GBP 3,000 in your trading account and bought 1 standard lot of CFDs on EURGBP at a price of 1.100. Which of the statements is true?	By opening the position of CFDs on EURGBP, I am buying a transferable security, which has its price connected to the exchange rate of Euro to GBP.		
		If the price of EURGBP drops to 1.070, I would be making a loss of GBP 3,000 and my available equity would be 0 (i.e. I will lose my initial investment).		

No.	Questions	Answers	Choose Answer	Score (internal Use only)
		If the price of EURGBP drops to 1.070, I would be making a loss of GBP 30,000 and my available equity would be 0 (i.e. I will lose my initial investment).		
		None of the above		
16	How much do you have to pay to buy 10 lots USD through an account that its leverage is 1:50?	20,000 USD		
		1,000,000 USD		
		20,000,000 USD		
		10,000 USD		
17	When trading with a higher leverage which of the following applies?	Risk is reduced		
		The profit and loss of a 1.00 lot position is multiplied		
		Positions can be opened with more notional exposure than the available balance		
		None of the above		
Total →				

APPENDIX 2: POLICY ACKNOWLEDGEMENT FORM

Mandatory for the provision of investment services:

N:	Policy name	Tick (client use)	Tick (internal use only)
1	Customer Agreement		
2	Investment Conditions (T&C of Business)		
3	Client Complaint Form		
4	Complaint handling procedure		
5	Order Execution and Best Interest Policy		
6	Client Categorisation		
7	Conflict of Interest Policy		
8	AML Policy		
9	Investor Compensation Fund		
10	Key Investor Information Document ("KID")		
11	Product Governance Policy		
12	Execution Quality Summary Statement 2017		
13	WEBSITE TERMS & CONDITIONS		
14	Privacy Policy		
15	Risk Warning		
16	Cookie Disclosure		
17	Product Statement		



Optional:

N:	Policy name	Tick (client use)	Tick (internal use only)
18	Multi Account Manager (MAM) Agreement		
19	Money Manager Agreement		
20	Percentage Allocation Management Module (PAMM) Agreement		
21	Islamic Swap Free Account Terms and Conditions		

**Please note that some of the above might not be applicable or available to you based on the services you requested from the company.*

We hereby declare and confirm that We have read the above-mentioned policies and agreements of the Company and understand my rights and obligations connected to the services offered to me by the company.

Company Name:

Name of the representative

Signature

Date:

APPENDIX 3 – AUTHORIZED REPRESENTATIVES

Data of Authorized Representatives/Attorneysv

1. Name:	Surname:	Father's Name:
Date of Birth:	Identity Card No. / Passport No.:	
Occupation:	Family Status:	No. of Dependent Persons:
Phone No.:	Fax No.:	Email:
Mobile Phone No.:	Business Phone No.:	
Home Address:		
Mailing Address (if different):		
Relationship of Authorized Representative/Attorney with Customer:		
2. Name:	Surname:	Father's Name:
Date of Birth:	Identity Card No. / Passport No.:	
Occupation:	Family Status:	No. of Dependent Persons:
Phone No.:	Fax No.:	Email:
Mobile Phone No.:	Business Phone No.:	
Home Address:		
Mailing Address (if different):		

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Relationship of Authorized Representative/Attorney with Customer:

In the event there is more than one Authorised Representative please attach multiple copies of this page.